

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Margaret Sue Ellis

Application No. 09/992,348

Filed: November 21, 2001

For: CREDIT RISK MANAGING LOAN POOLS

Confirmation No.: 7197

Art Unit: 3694

Examiner: Liu, I. Jung

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(Under 37 C.F.R. § 1.97(d), § 1.97(e)(2), and § 1.98)

Sir:

The Examiner is requested to consider the references noted on the enclosed PTO/SB/08A and B during examination of the above-identified patent application. The references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting the references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to the requirements of 37 C.F.R. § 1.98(a)(2), a photocopy of the U.S. patent publication document is not provided, unless required by the Office. Photocopies of the non-patent literature documents are provided herewith.

The Assignee directs the Examiner's attention to McKesson Information Solutions, Inc. v. Bridge Medical, Inc., 487 F.3d 897 (Fed. Cir. 2007), in which the Federal Circuit Court held that inequitable conduct by an applicant existed for failure to disclose "items of information during prosecution . . . of copending applications." In view of this case, the Assignee has cited on the enclosed Form PTO/SB/08A and B non-patent literature documents of co-pending applications closely related to the above-referenced application to allow the Examiner to assess whether the Examiner considers any portion of the exchanges between the U.S. Patent and Trademark Office and the Assignee for these applications to be material to the patentability of this application.

Application No. 09/992,348
Attorney Docket No. 1366/US

Statement Under 37 C.F.R. § 1.97(e)(2)

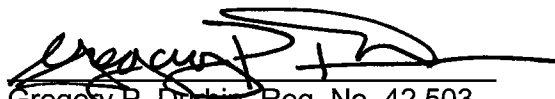
The undersigned hereby certifies the references cited on the enclosed Form PTO/SB/08A and B were not cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the enclosed Form PTO/SB/08A and B was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(c) and prior to the payment of the issue fee. As such, this Supplemental Information Disclosure Statement requires a statement under 37 C.F.R. § 1.97 (e)(2) and the fee of \$180.00 pursuant to 37 C.F.R. § 1.17(p). Please charge \$180.00 to Deposit Account No. 04-1415 for the Information Disclosure Statement fee. The Assignee believes no further fees or petitions are required. However, if any such petitions or fees are necessary, please consider this a request therefor and authorization to charge Deposit Account No. 04-1415 accordingly.

If the Examiner has any questions, please contact the undersigned attorney.

Dated: JAN. 26, 2010

Respectfully submitted,



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Enclosures

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